Financial Conflict of Interest Procedure & Process

Received from Investigator
SFI Review Form (form 1)
1.) Proposal submission
2.) Annually
3) Change in SFI status

Investigator has an
SFI?

SFI: Significant Financial Interest
FCOI: Financial Conflict of Interest
FCOIRC: Financial Conflict of Interest in Research Committee
VPR: Vice President for Research

Key

Investigator has an SFI?

NO

Investigator completes a supplemental SFI form (form 2)

NOT RELATED TO SPONSORED ACTIVITY

OVPR makes relatedness determination

NOT RELATED TO SPONSORED ACTIVITY

Nothing else needed at this time; materials retained for record keeping purposes

FCOI Staff develops management plan recommendations

Plan goes to FCOIRC for review/approval

Plan goes to FCOIRC for review/approval

Plan goes to FCOIRC for review/approval

Plan goes to investigator

Plan goes to investigator

Plan goes back to FCOIRC

Plan goes back to FCOIRC

Conflict cannot be managed

Research cannot be done

Conflict can be managed

Investigator can start spending on award

Notes
1. Training will be embedded within electronic FCOI InfoEd system – to be fully implemented and ready for rollout by 02/28/15.
2. Denotes University office with Ex. Officio membership on the FCOIRC
3. Post approval monitoring will be conducted by FCOI coordinator, and then reported back to FCOIRC

Updated: 11-20-14